

**Arab Bankers Association:
SMR & Liability Protection for Senior
Managers**

29 January 2016

"Adam Epstein is a very experienced, very impressive solicitor. A real calm pair of hands."

Chambers and Partners

SPEAKER



Adam Epstein
Partner

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Adam is a Partner in the Finance & Banking Disputes Group. He leads the Firm's highly regarded practice defending clients against the financial services regulatory bodies.

For more than 20 years, Adam has defended some of the biggest enforcement cases to emerge. He knows the FCA (previously FSA) prosecution well and has practical hands on experience in front of the decision makers. He also has extensive experience in cross jurisdictional matters.

In addition to defending contested actions, his work often takes place in the pre investigation and pre enforcement stages, helping clients protect themselves against future possible regulatory action. Adam represents both individuals and institutions.

He is widely recognised as a leading individual in the area of Financial Services. The Contentious Regulatory practice that he heads is ranked in Legal 500 and Chambers & Partners and Adam himself has been consistently rated over the years by both legal directories.

Legal 500 praises the group's "high-quality and commercially savvy advice" and states that *"the 'very experienced and wily' Adam Epstein is the undoubted standout"*.

Chambers cites Adam as *"extremely good and knowledgeable in this area"* and applauds his *"extremely focused, very client-friendly"* approach stating that he is *"exactly the person you would want on your side"*.

FINANCE & BANKING

The lawyers in our Finance and Banking Disputes Group are not merely external advisers to our clients, but form a core part of their business, proactively working with clients to analyse risks, protect assets, resolve disputes and therefore build their businesses. Our clients depend on our insight into financial markets, products and services, which has been developed through our close collaboration with financial institutions, investors and borrowers.

We are able to draw on the expertise of a number of specialists across banking and debt finance, investment funds, regulatory, and insolvency and recovery. Our clients benefit from our unique insight into the challenges they face, because we act for all sides of the industry, from banks and other financial institutions, to borrowers and investors.

We work with banks and financial institutions to develop legal and commercial strategies tailored to our clients' commercial needs and the challenges they face. We view litigation as one part of the overall strategy we devise for clients, which will offer clients a significant return on investment. When we litigate, we adopt a forceful approach and pride ourselves on our record of success.

Our clients range from investment and retail banks, asset based lenders, brokers and fund managers to insurers, financial services businesses, sponsors and creditors, debt and equity investors, and investors in financial products. Matters are often high value and involve a number of international jurisdictions. Our particular strength is in the swift and discrete resolution of highly sensitive disputes that may relate to data loss, fraud, regulatory investigations or corporate crime.

EMPLOYMENT

The Employment team at Mishcon de Reya LLP manages the full spectrum of contentious and non-contentious employment law. We are experienced in managing complex, high profile and high stake situations, acting at the highest level for both organisations and senior executives. As a result we have a unique understanding of tactics deployed by both sides and a balanced understanding of the issues involved.

The team are high level strategists, with a fearlessness based on experience, and have a deep understanding of both the mediation and judicial system, frequently managing litigation in the Employment Tribunal, High Court, Court of Appeal and Supreme Court on a wide variety of issues.

We act as a trusted advisor to our clients, working with them to build their businesses via restructures, mergers or acquisitions; and to defend against claims, resolve disputes and manage investigations and litigation.

We also work with Senior Executives, CEOs and Non-Executive Directors, across the financial and banking sectors, to manage their personal employment law needs. This ranges from negotiating the terms on which they enter or exit employment, to resolving disputes, or representing them in regulatory investigations and proceedings (such as LIBOR).

"Extremely good and knowledgeable in this area".

Chambers and Partners

"The 'excellent' Mishcon de Reya provides 'practical and commercial advice', and represents employers and senior executives."

Legal 500

"They are a good brand name for a start, and they're well resourced. They fight our corner."

Chambers and Partners

"They provide good, clear legal advice and have a commercial approach"

Chambers and Partners

REAL ESTATE FINANCE

Our specialist team provides advice on how to structure financing to provide maximum security for the lender and maximum certainty for the borrower. We work with major banks and other leading property lenders, on a senior and mezzanine basis, as well as advising some of the industry's highest profile investment and development borrowers.

We have engaged with a new wave of real estate investors and funders who are emerging as key players in the property market in the wake of recent economic turmoil. Consequently we are well versed in looking beyond the traditional areas of property finance and embracing the new technology in this ever-changing banking market.

We are well placed to both protect our clients' businesses and to help them to capitalise on the opportunities that are available. We are a key component of a client's team and take an active role in every aspect of a property finance transaction, facilitating deals through the introduction of people, property and finance, and identifying practical commercial solutions to issues that come up along the way.

Our Real Estate Finance Team advises financial institutions (including clearing banks, second tier banks and private banks) private equity funds, hedge funds, institutional funds, developers and investors on all aspects of real estate finance transactions.

IMMIGRATION

The decision to relocate family and home is a major one for most clients. We work closely with our clients to make the process as stress-free as possible - acknowledging that personal circumstances rarely fit neatly into obvious immigration categories and cultural nuances mean that clients may have different priorities.

Much of our work is about bespoke immigration solutions for high net worth individuals. Our extensive contacts throughout the Immigration and Nationality Services in the UK, and with visa issuing posts overseas, allow us to obtain exceptional and expeditious results for our clients.

Working with international tax advisors and private bankers, we make it possible for clients to take full advantage of the UK's favourable taxation regime.

The Immigration Group also provides bespoke advice to many international businesses and financial organisations.

ABOUT US

Mishcon de Reya is a full service law firm with offices in London and New York. With more than 600 people including over 300 lawyers, we are one of the UK's largest independent law firms, offering a wide range of legal services to companies and individuals. Our diverse practice encompasses Corporate, Finance, Intellectual Property, Competition, Business Crime, Tax and Employment, among other areas.

In every area of the law in which we operate, Mishcon de Reya prides itself in providing a best in class service to its clients. Everything that we do is bespoke, because our clients are unique. We embrace the idiosyncrasies of our clients, recognising that it is the force of their personalities and their passion for their organisations that makes them such formidable business men and women.

We set out to make a difference to our clients; our lawyers are personally invested in our clients' successes. We know that our clients want to solve problems and capitalise on opportunities quickly. So do we. We challenge conventional ways of working and we know that solving the complex problems faced by businesses requires intelligent and often inventive strategies.

We believe that our commercial insight extends far beyond the law. We pride ourselves on the assertive and practical approach that we take to deals and we appreciate the privilege of sitting alongside our clients as a trusted advisor. Building strong personal connections to our clients and their businesses is important to us. It is for these reasons we say, 'It's business. But it's personal'.

"A great sounding board for ideas, and they've helped us achieve some outstanding results in strategy as well as litigation."

Chambers and Partners

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